

GRANTS POLICIES

Summary of 11/17/17 Changes:

- Indirect Costs
 - Terminology was changed from Indirect Costs to Facilities & Administrative Costs (F&A).
- Grant Administration
 - Rebudgeting of personnel now has a threshold of 5% or \$5,000 of the approved personnel budget; whichever is less before requesting rebudgeting approval would be required.
 - This is not applicable to fellowships, where all changes to personnel budget still require approval.
 - Rebudgeting of travel was adjusted from may not be increased or rebudgeted to allow rebudgeting of travel funds as long as travel budget is not increased.
- Intellectual Property
 - Changed language to focus on immediate reporting of intellectual property on the newly created Invention/Patent Report found on the CDI website.
- Subaward (Contractual) Agreements
 - Section was added to provide clarity regarding grantee responsibilities associated with a subaward or contractual agreement.

Summary of 6/10/15 Changes:

- Purpose of Grants
 - The Center for Musculoskeletal and Metabolic Diseases (MD) was renamed the Center for Metabolism and Immunity (MI). The center description was also updated.
- Grant Administration
 - Noted that Faculty Scholars require prior approval for the issuance of a subcontract not authorized in the original budget, but are not subject to the other CDI rebudgeting restrictions.

Summary of 8/6/14 Changes:

- Grant Administration
 - Added clarity to the overlap statement. Applicants are able to submit the proposal they submitted to the CDI for review to other agencies for consideration. If the CDI proposal is awarded, all overlap guidelines must be adhered to.

Summary of 7/25/14 Changes:

- Purpose of Grants
 - Updated descriptions of CDI centers.
- Grant Administration
 - Noted that issuance of a subcontract not authorized in the original budget must have prior approval.
- Personnel
 - Noted that Prior approval by the CDI is necessary if the effort of a PI/other senior key personnel on a CDI funded project is decreased by 25 percent or more from the level that was approved at the time of initial competing year award.

Summary of 3/25/14 Changes:

- Grant Administration
 - No Cost Extensions: Clarified NCE requests must include an explanation of why funds remain and how the NCE period requested will be utilized.

Summary of 3/10/14 Changes:

- Grant Administration
 - No Cost Extensions: Faculty Scholars may not request a NCE.

Summary of 1/8/14 Changes:

- Grant Administration
 - No Cost Extensions: NCE requests must be received 60 days prior to the end of the grant.

Summary of 6/20/13 Changes:

- Purpose of Grants
 - Noted that projects may be tagged to multiple centers or to all CDI centers if applicable.
- Grant Administration
 - No Cost Extensions: clarified NCE requests must include a description of how the funds will be used in the NCE period.
 - Overlap: added language to define scientific and budgetary overlap. Overlap is not permitted and must be resolved upon discovery.
- Direct Costs – Acceptable Items
 - Added trainee health fees. This expense has always been allowable, but it has been added to the policies to reduce confusion. Trainee tuition continues to be unallowable.
- Indirect Costs
 - Specified that the applicable rate for fellowships and educational initiatives is 10%.
 - Added clarification about which overhead rate to use if a subaward's indirect cost rate is less than the applicable CDI indirect cost rate.
 - Amended policy to state that "consortium total costs (direct and indirect costs) up to \$25,000 are considered a part of the primary grantee's direct cost base."
- Publications of Grantee
 - Revised acknowledgement statement.
- Publicity on Work Under Grant
 - Added acknowledgement statement for all publicity citing results from CDI-supported research.

Summary of 8/17/12 Changes:

- General Changes
 - Removed bullets for main policy sections and changed font throughout document.
 - Added Table of Contents.
 - Added Center and funding mechanism abbreviations.
 - Removed Eligibility and Funding of Grant (i.e. Application Types) sections as that information can be found in the LOI and Proposal Submission Guidelines.
 - Changed Grant Numbers to Grant Identifiers.
- Funding Mechanisms
 - Added descriptions of funding mechanisms and removed due dates, award activation dates, and budget parameters as those can be found in the LOI and Proposal Submission Guidelines.
 - Broke out Infrastructure and Core Large Initiatives into two funding mechanisms: Core Large Initiatives and Large-Scale Interdisciplinary Research Initiatives.
 - Removed “Predoctoral Fellowships” as a funding mechanism.
- Authority for Making Grants
 - Board of Trustees was updated to Board of Managers.
- Direct Costs – Acceptable Items
 - Clarified the wording of the Salaries/Stipends category.
 - Changed “Minimal but essential permanent equipment” to “Equipment.”
- Direct Costs – Unacceptable Items
 - Removed mail/postage costs and copying costs. The CDI will allow these costs if sufficient justification can be provided as to their relevance to the project.
 - Removed service contracts. The CDI will allow these costs if sufficient justification can be provided as to their relevance and necessity to the project.
 - Added refreshments.
- Indirect Costs
 - Clarified applicable rates.
- Pre-Award Costs
 - Disallowed pre-award costs without CDI prior approval.
- Grant Administration
 - Carryover: clarified how funds will be treated if renewal grant is awarded.
 - No Cost Extensions: NCE requests must be received 30 days prior to the end of the grant. NCE progress report must be submitted with NCE request.
 - Rebudgeting: updated language to exclude Faculty Scholars from rebudgeting restrictions.